

Sustainable optimal transport in multilayer networks

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Traffic congestion is one of the major challenges faced by the transportation industry. While this problem carries a high economical and environmental cost, the need for an efficient design of optimal paths for passengers in multilayer network infrastructures is imperative. We consider an approach based on optimal transport theory to route passengers preferably along layers that are more carbon efficient than the road, e.g. rails. By analyzing the impact of this choice on performance, we find that this approach reduces carbon emissions considerably, compared to shortest-path minimization. Similarly, we find that this approach distributes traffic more homogeneously thus alleviating the risk of traffic congestions. Our results shed light on the impact of distributing traffic flexibly across layers guided by optimal transport theory.

I. INTRODUCTION

Traffic congestion is a major problem in the transportation industry, with significant economic and environmental repercussions. Impacts of the environmental cost such as carbon emissions and other air pollutants on public health can be sizable and need to be properly studied [1]. Combining different transportation modalities, as in multilayer networks, can mitigate congestion and thus improve urban sustainability [2]. Modeling traffic congestion on multilayer networks is crucial to investigate the efficiency and cost of operating such infrastructures [3]. Addressing this problem requires extracting what paths passengers take from source to destination, an information that can then be used to analyze traffic patterns. Many route extraction methods are based on shortest-path minimization [4–7] or assignment strategy [8]. However, shortest paths (i.e. selfish routing) might not always be the optimal path in a congested network [9–11], hence the need for coordinated traffic congestion. In addition, empirical results have shown that passengers may not always consider the shortest route [12, 13]. While efforts have been made to go beyond shortest-path minimization using the cavity method or message-passing algorithms [10, 14–17], these approaches are only valid in single layer networks. Fewer models have been targeting transport optimization in multilayer networks [3]. For instance, [8] propose a flow-assignment strategy on multilayer networks, while [18] develop a recurrent algorithm for communication networks.

Several works focus more on analyzing the properties of passenger flows in multilayer networks, rather than proposing models to extract trajectories, hence they consider shortest-path optimization [5–7] or random walks [4] to extract flows, thus necessarily influencing the results of subsequent analysis based on these strategies.

A principled and efficient approach for extracting optimal paths of passengers in networks is optimal transport (OT) theory [19–22]. This approach has been recently

applied to multilayer networks [23], where the key idea is to flexibly tune between different cost functions in each of the different layers, thus capturing the specificity of each type of infrastructure. For instance, a road network is more sensitive to traffic congestion than a rail one, while the infrastructure of a rail network may be more costly to build. Our work builds from these ideas by adapting this model to study and evaluate optimal paths on multilayer networks under different scenarios. The goal of our work is to study the trajectories of optimal paths and compare them with those extracted from standard approaches relying on shortest-path minimization to identify key properties that are better optimized if one considers the multilayer character of the network. Our main contribution is threefold: First, we consider an optimal transport-based approach to extract optimal paths for passengers in multilayer networks, contrarily to standard approaches based on shortest-path minimization. Second, we propose a variant of this OT-based method that interpolates between OT and shortest-path minimization. While the extracted paths of the two OT-based models are longer than those obtained by shortest-path minimization, the rail layer is used by more passengers. Finally, we show how using the optimal routes extracted by OT-based algorithms, passengers are more likely to encounter little or no traffic while emitting fewer amounts of CO_2 , leading to a reduced environmental cost. Our empirical results on synthetic and real data show the need for approaches that exploit the multilayer nature of multimodal transportation networks.

II. OPTIMAL TRANSPORT FOR TRAFFIC DISTRIBUTION IN MULTILAYER NETWORKS

We consider a multilayer network a graph denoted as $G(\{\mathcal{V}_\alpha\}_\alpha, \{\mathcal{E}_\alpha\}_\alpha, \{\mathcal{E}_{\alpha\gamma}\}_{\alpha\gamma})$, where \mathcal{V}_α , \mathcal{E}_α and $\mathcal{E}_{\alpha\gamma}$ denote the set of nodes, edges in layer α and inter-layer edges between layers α and γ ; $\alpha = 1, \dots, L$, where L is the number of layers. We denote the number of nodes and edges as N and E , and assume that edges have length $l_e > 0$, which determines the cost of traveling through them. To fix ideas, we consider the case of a 2-layer network, but all results are valid for a higher number of layers. We denote the two layers as α, γ and consider a

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road network for α and a rail network for γ , as explained in detail in Sec. III. We show an example of this structure in Fig. 1.

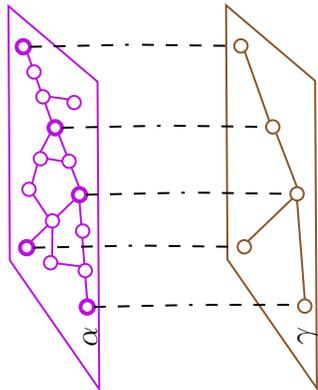


FIG. 1. Multilayer structure with $N_\alpha = 15$ and $N_\gamma = 4$. The network edges are represented in continuous lines (magenta and brown) and the two-edge path in dashed-lines. The thicker magenta nodes represent stations belonging to both layers.

We consider passengers traveling through the networks and distinguish them by their origin and destination (traffic demands) stations (o_i, t_i) , where $o_i, t_i \in \mathcal{V} = \cup_\alpha V_\alpha$. We denote as $\mathcal{S} = \{(o_i, t_i)\}$ the set of all origin-destination pairs, and $|\mathcal{S}| = M$ as their number.

We briefly describe the model of [23] to find optimal paths in multilayer networks using optimal transport theory. It considers two main quantities on network edges: fluxes F_e of passengers traveling through edge e and conductivities μ_e determining F_e passing through an edge e . To keep track of the various routes that passengers have, they consider a multi-commodity approach [22, 24], by distinguishing passengers based on their entry station $i \in \mathcal{S}$. With this approach, the flux F_e is an M -dimensional vector, where entries F_e^i denote the flux of passengers of type i traveling on edge e . We assume the fluxes are determined by pressure potentials p_u^i and p_v^i defined on nodes as follow:

$$F_e^i := \frac{\mu_e}{l_e} (p_u^i - p_v^i), \quad e = (u, v), \quad (1)$$

where l_e is the length of edge e . Kirchhoff's law is imposed on network nodes to properly enforce mass conservation. Finally, the dynamics assumes that the conductivity μ_e depends on flux F_e as follows:

$$\dot{\mu}_e = \mu_e^{\beta_{q_e}} \frac{\sum_{\alpha \in \mathcal{S}} (p_u^\alpha - p_v^\alpha)^2}{l_e^2} - \mu_e, \quad \forall e \in \mathcal{E}, \quad (2)$$

where q_e encodes the layer that the edge e belongs to. The parameter $0 < \beta_{q_e} < 2$ determines the type of optimal transport problem one aims to solve: $0 < \beta_{q_e} < 1$ penalizes traffic congestion; $1 < \beta_{q_e} < 2$ encourages path consolidation into few highways; while $\beta_{q_e} = 1$ is shortest-path like. Interpreting the conductivities as quantities proportional to the size of an edge, this dynamics enforces a feedback mechanism such that the edge

size increases if the flux through that edge increases, and it decreases otherwise.

It can be shown [22, 23] that the stationary solutions of Eq. (2) minimize the multilayer transport cost function:

$$J_\beta = \sum_{\alpha=1}^L \sum_{e \in \mathcal{E}_\alpha} l_e \|F_e\|_2^{\Gamma(\beta_\alpha)}, \quad (3)$$

where $\Gamma(\beta_\alpha) = 2(2-\beta_\alpha)/(3-\beta_\alpha)$ for all α and the 2-norm is calculated over the M entries of each F_e . Intuitively, solving the system of Eqs. (1) and (2) and Kirchhoff's law is equivalent to finding the optimal trajectories of passengers in a multilayer network, where optimality is given with respect to the transport cost in Eq. (3). We refer to this OT-based algorithm as MultiOT.

II.1. MultiOTsp: interpolating between OT and shortest-path minimization

The paths extracted by MultiOT will encourage path consolidation along with the rail network and traffic minimization on the road one. Empirically, we observe that this model tends to distribute passengers of the same type (i.e. same origin and destination) along various routes, as shown in Fig. 2. While most of these passengers take the shortest among these routes, some distribute on longer ones to prevent traffic congestion. This suggests an alternative algorithm that interpolates between MultiOT and shortest-path minimization to select only the main relevant routes for each origin-destination pair among those extracted by MultiOT. This can be done by inputting the solution of MultiOT for each passenger type i into a weighted shortest-path algorithm with edge weights $w_e = l_e/|F_e^i|$, where the fluxes F_e are those extracted from MultiOT. All the passengers of type i are then routed along the output path. We call this algorithm MultiOTsp and show a pseudo-code in Algorithm 1. The paths selected by MultiOTsp strongly rely on how the fluxes are selected in the first place to determine the weights w_e . As the F_e are calculated by considering all the passengers simultaneously (using MultiOT), the final optimal trajectories of MultiOTsp are significantly distinct from those obtained by shortest-path minimization, which are independent from the surrounding environment. We show an example of this in Fig. 2.

Algorithm 1 MultiOTsp

Input Graph $G(V, E, W)$, set \mathcal{S} of origin-destination pairs, $\beta = (\beta_1, \dots, \beta_L)$

Output Fluxes $\{F_e\}_e$

- 1: **function** MULTIOTSP(G, \mathcal{S}, β)
 - 2: $\{F_e\}_e \leftarrow \text{MultiOT}(G, \mathcal{S}, \beta)$
 - 3: **for** $i = 1, \dots, M$ **do**
 - 4: $\{F_e^i\}_e \leftarrow \text{weighted Dijkstra}(G, \mathcal{S}, w)$ with $w_e = l_e/|F_e^i|$
 - 5: **end for**
 - 6: $F_e = (F_e^1, \dots, F_e^M), \forall e$
 - 7: **end function**
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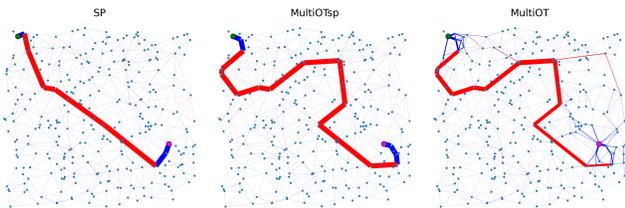


FIG. 2. Example of paths from source (green node) to sink (magenta node) for the three algorithms. Blue and red edges denote the road and rail layers, respectively. Edge width is proportional to the amount of passengers traveling through it. We set $p = 0.2$, implying that the majority of the passengers are directed towards a central node; $\beta_\alpha = 0.5$ and $\beta_\gamma = 1.9$.

In the following, we study the trajectories of optimal paths extracted by the three approaches: MultiOT, MultiOTsp and shortest-path minimization (SP). We use the implementation in [25] for MultiOT, while for SP we use Dijkstra algorithm [26].

III. EMPIRICAL RESULTS

To investigate relevant properties of the optimal paths extracted by the different algorithms, we simulate a variety of realistic traffic scenarios. Specifically, we generate a dataset of synthetic 2-layer planar networks, where α simulates a road network and γ simulates a rail network (e.g. tram). The layer α is constructed by randomly placing N nodes in $[0, 1] \times [0, 1]$, and extracting its Delaunay triangulation [27]. We then select a subset of nodes to build the layer γ with an analogous procedure. In our simulations we set $N_\alpha = 300$ and $N_\gamma = 60$. We extract 20 different networks and 100 random samples of origin-destination pairs for each of them, for a total of 2000 realizations for each parameters' configuration. With this, we aim at capturing different transportation scenarios in the two layers, as rail networks are less subject to traffic congestion but more costly to build, while we can state the opposite for road networks. MultiOT (and thus MultiOTsp) can capture these differences by suitably tuning β in each layer: to penalize traffic congestion in the road layer we set $\beta_\alpha = 0.5$ and vary β_γ in $0 < \beta_\gamma < 2$ to study various scenarios. Origin and destination pairs are generated by considering a rewiring probability $p = [0, 1]$ on passengers direction towards a central node, with $p = 0.0$ corresponding to having a monocentric destination where all passengers move towards a central node and $p = 1.0$ corresponding to selecting all passengers' destination at random. We consider $p = \{0.2, 0.5, 0.8\}$, but show results for $p = 0.5$, as the qualitative behavior is similar as for the others, see Appendix A. These settings exhibit three important properties of the OT-based algorithms.

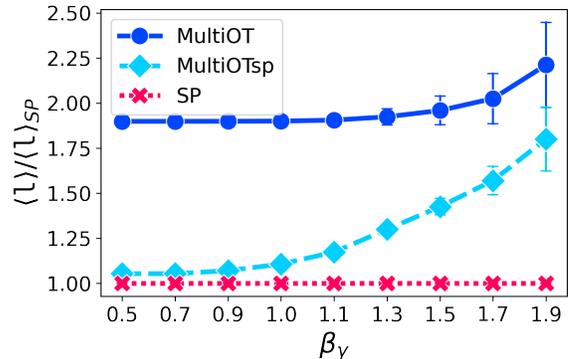


FIG. 3. Average total path length ratio. We show the ratio of the average total path length to the one extracted from SP. We set $p = 0.5$, $\beta_\alpha = 0.5$ and vary $0 < \beta_\gamma < 2$. The results are averaged over 20 different network realizations with 100 randomly selected origin-destination pairs for each network realization. The markers and error bars are averages and standard deviations.

IV. LONGER LENGTHS BUT HIGHER RAIL NETWORK USAGE

Shortest-path optimization is optimized for minimizing the total path length taken by passengers, hence we expect MultiOT and MultiOTsp to underperform SP on this task. In fact, the performance of OT-based algorithms is expected to decrease as β_γ increases, as shown in Fig. 3 by the average path length $\langle l \rangle = \frac{1}{M} \sum_{e \in \mathcal{E}} l_e \|F_e\|_1$ over the one obtained from a shortest-path algorithm.

This is expected given that higher β_γ encourages more traffic to be routed towards the rail network at the cost of increased distance to cover, as the rail network has fewer and more distant nodes to reach than a road network. We then measure how passengers are distributed in the two layers by defining a coupling coefficient, a known concept to describe how well two layers are linked [6]. We define:

$$\lambda = \frac{1}{M} \sum_{i \in \mathcal{S}} \left(\frac{\sum_{e \in \mathcal{E}_\gamma} |F_e^i|}{\sum_{e \in \mathcal{E}_\alpha \cup \mathcal{E}_\gamma} |F_e^i|} \right), \quad (4)$$

where the numerator inside brackets contains only the flux in the rail layer so we can distinguish how many passenger types effectively use that layer in their trajectories. This definition is valid for 2-layer networks, as the empirical networks studied here. However, one can appropriately generalize it for networks with more than two layers. The usage of the rail layer monotonically increases for both OT-based algorithms, as shown in Fig. 4, with MultiOTsp reaching higher usage values. This suggests that the shortest-path routes selected from the possible paths output by MultiOT are made of a significant amount of rail edges. This also shows that the raw solutions output of MultiOT consider paths more distributed across the road layer, as qualitatively observed in Fig. 2.

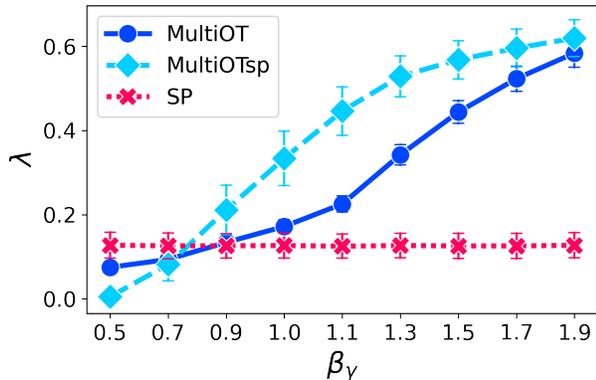


FIG. 4. Coupling between layers. We show the coupling coefficient as defined in Eq. (4). All other settings remain the same as in Fig. 3.

V. MULTILAYER OPTIMIZATION CAN DECREASE CARBON CONSUMPTION

As more passengers take longer paths while being encouraged to use the rail network, they also consume less carbon per unit of length. The question is whether the increased length can be properly compensated by the decrease in carbon consumption. We tested this on the same paths extracted to plot Fig. 3 by measuring the average CO_2 consumption per passenger as:

$$\langle CO_2 \rangle = \frac{1}{M} \sum_{e \in \mathcal{E}} r_{q_e} l_e \|F_e\|_1, \quad (5)$$

where r_α is the carbon emission rate in layer α . This has a dimension of unit of mass (e.g. g) per passenger per unit of length (e.g. pkm). For instance, a bus on average generates $101.87g/pkm$ [28] while a train $28.39g/pkm$ [29]. Hence, defining r_1 the rate of the road layer and considering buses traveling on it, we can set $r_2 = r_1 28.39/101.87 = 0.28 r_1$. These values can be changed accordingly with more specific values if a traffic manager has precise statistics of vehicles' types traveling on the network. By leveraging optimal transport with a bias towards shortest paths, MultiOTsp is able to decrease the most carbon consumption compared to SP, measured by the ratio of its $\langle CO_2 \rangle$ over that produced by SP. A minimum is reached for $1.1 \leq \beta_\gamma \leq 1.5$ where MultiOTsp produces 25% fewer emissions than a shortest-path routing algorithm, as shown in Fig. 5. This important result is a consequence of flexibly tuning the cost to be optimized in each layer, as allowed by β in Eq. (3). In particular, $\beta_\gamma > 1$ encourages paths to consolidate on the rail layer, while $\beta_\alpha = 0.5$ controls for traffic congestion on the road one. The fact that the minimum consumption of MultiOTsp has not been realized at the highest value $\beta_\gamma = 1.9$, where the paths are consolidated into few rail routes the most, further suggests that there is a trade-off between keeping the path lengths short while directing more passengers towards the rail layer. In fact,

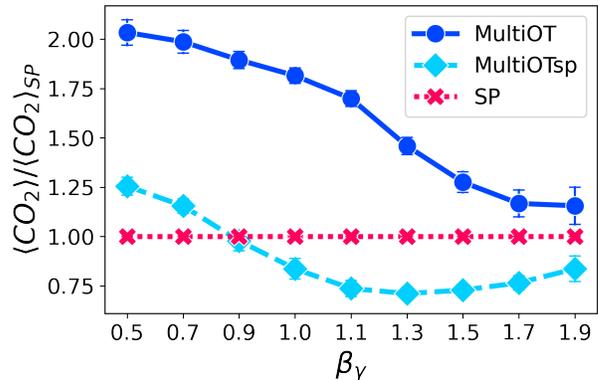


FIG. 5. Carbon emission ratio. We show the ratio of the average carbon emissions as defined in Eq. (5) to the one obtained by SP. All other settings remain the same as in Fig. 3.

at $\beta_\gamma = 1.9$, as the number of passengers redirected towards the second layer increases, they also have to take longer routes, thus emitting more carbon. A value of $\beta = 1.3$ results in a nice tradeoff between these two competing behaviors in terms of carbon emission. On the contrary, MultiOT shows a monotonic decreasing behavior with a minimum reached at $\beta = 1.9$, but still higher than that emitted by SP. This is a consequence of the higher number of possible paths that passengers can take as routed by MultiOT, which are by default longer than those obtained by MultiOTsp and use more edges of the road layer. As a consequence, the longer length does not seem to justify the higher usage of the rail layer.

VI. TRAFFIC CONGESTION

All the results of the previous section were interpreted with the assumption that the flow of passengers is regular, even on high-traffic edges. Instead, if we account for traffic to slow down the flow on edges with a high density of travelers, those vehicles emit more carbon while they keep their engines on for longer. The routes suggested by MultiOT are less sensitive to this, hence we also expect a lower carbon emission than that shown in Fig. 5 when accounting for traffic. We thus measure traffic load on edges as

$$T_e = \frac{1}{n} \|F_e\|_1, \quad (6)$$

where n is the total number of passengers and measures the Gini coefficient $\text{Gini}(T_e) \in [0, 1]$ as a global network metric of inequality of how traffic is distributed on the network [30], with a Gini close to 1 meaning high inequality in flow assignment along edges. As the road layer is the one more sensitive to potential traffic bottlenecks, we consider only the traffic on road edges and denote with $\text{Gini}(T_e^{(\alpha)})$ the Gini coefficient calculated using only $e \in \mathcal{E}_\alpha$. As expected, MultiOT has more balanced

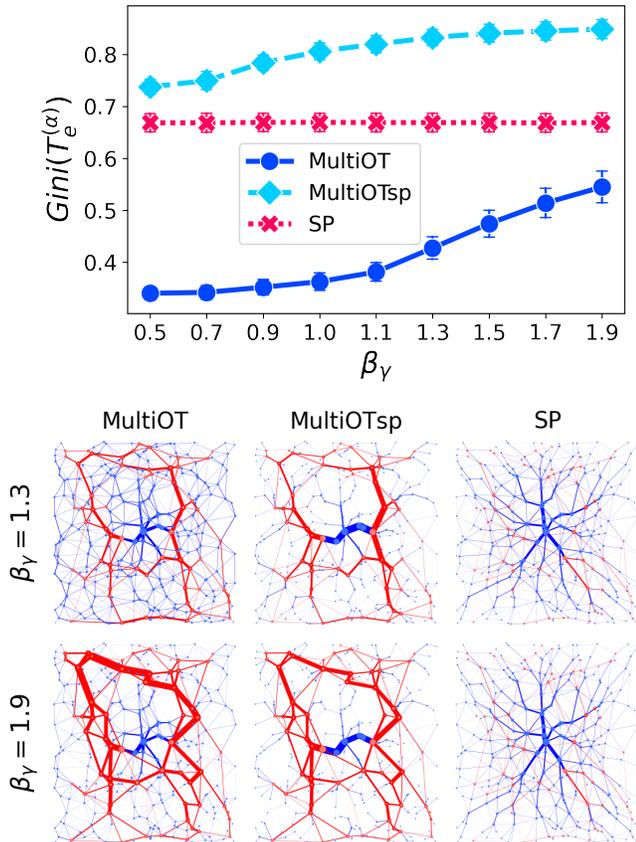


FIG. 6. Traffic distribution. Top) Gini coefficient of the traffic on the road layer α . Bottom) optimal trajectories. Edge widths are proportional to $\|F_e\|_1$ averaged over 10 samples of origin-destination configurations; $p = 0.5$, $\beta_\alpha = 0.5$.

traffic than the other two algorithms, as shown in Fig. 6. While congestion increases with β_γ , even at the maximum $\beta = 1.9$ the Gini coefficient is lower than that of SP. The reason for this increase is that paths consolidate more on those fewer road edges that allow connection to the rail layer, as can be seen on the example optimal routes in Fig. 6, a behaviour also observed in previous studies [31, 32]. This is exacerbated in MultiOTsp, as one can notice that central road edges are overly trafficked when many passengers exit the rail to reach the final destination in the center. This also causes the Gini coefficient of MultiOTsp to be higher than that of SP. In other words, few central edges cause most of the traffic for MultiOTsp. This can be partially alleviated by increasing p towards 1 as fewer destinations are directed towards the network center, although this may become an unrealistic assumption in urban scenarios. Alternatively, one can simply add rail stations in the center, so that passengers do not have to commute one extra mile to reach their final destination, a scenario that we explore below in the case of a real network.

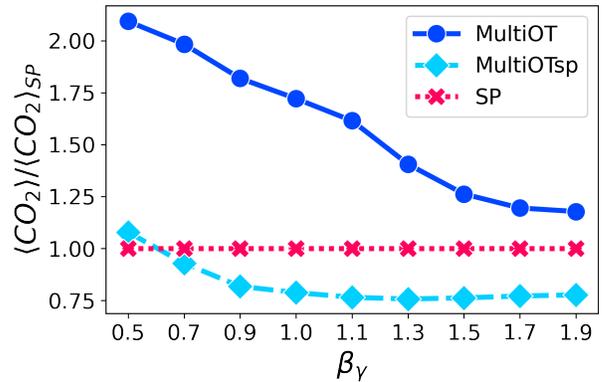


FIG. 7. Carbon emissions on the Bordeaux network. Ratio of the average carbon emission over that of SP. Here we set $p = 0.2$, thus favoring monocentric destinations.

VII. REAL MULTILAYER NETWORK

Next, we examine these properties on a real 2-layer network of the city of Bordeaux [33], where α and γ represent the bus and tram networks, respectively. Similar to the synthetic network, we compare the performances of OT-based algorithms with SP on this network. We set $p = 0.2$ to consider the situation where the majority of passengers are directed towards the city center, a central node coinciding with a tram station, and extract 100 realizations of origin-destination pairs.

We find that MultiOTsp produces 25% fewer carbon emissions than SP for $1.1 \leq \beta_\gamma \leq 1.5$, as shown in Fig. 7, similar to what observed on synthetic networks. MultiOT has a minimum at $\beta_\gamma = 1.9$ but the emissions are higher than SP. We argue that also in this case this is due to the assumption that the flow of vehicles is smoothly moving, with no traffic congestion causing velocity to decrease, and thus emissions to increase nearby traffic bottlenecks. To assess this hypothesis we investigate the distribution of fluxes on the road layer by measuring the traffic T_e on edges in layer α . We find that indeed MultiOT has path trajectories more homogeneously distributed across the road layer as measured by the Gini coefficient plotted in Fig. 9 along with an example solution, potentially lowering the number of congestions. As we can see from an example solution in the same figure, the two OT-based variants distribute passengers in higher amounts along the tram network, thus lowering the road's usage, while SP makes use of the tram mainly in the proximity of the central node. We can further notice how MultiOT uses with higher intensity the road than MultiOTsp, but the road edges have lower traffic than those obtained by SP. As for MultiOTsp, the road edges with the highest traffic are those nearby tram stations, like those in the upper left corner in the figure.

To better quantify the potential impact of traffic congestion as a proxy for the potential increase in CO_2 emission we consider a measure of transport cost used before

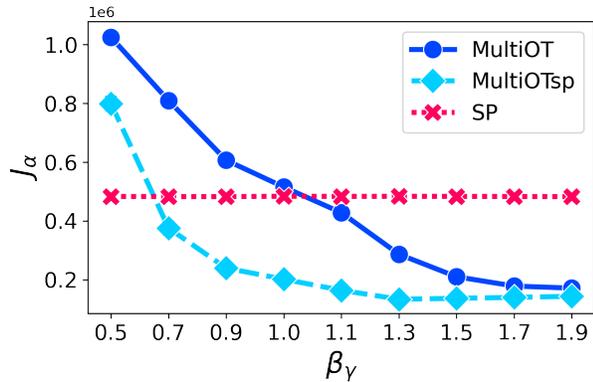


FIG. 8. Transport cost on the road layer α of Bordeaux network. The cost is defined as in Eq. (7); here $p = 0.2$.

in similar problems [14, 15] and defined as

$$J_\alpha = \sum_{e \in \mathcal{E}_\alpha} l_e \|F_e\|_1^2, \quad (7)$$

where the exponent 2 penalizes for traffic. This is different from the definition of Eq. (3), which accounted for the edges in all layers and with different exponents β . As seen in Fig. 8, both OT-based algorithms outperform SP as β_γ increases, meaning that passengers traveling on paths generated by the OT-based algorithms will generally record lower road traffic congestions compared with the paths extracted by SP. Assuming that velocity decreases along congested edges, we conjecture that this would result in MultiOT having lower carbon emissions than SP.

VIII. DISCUSSION & CONCLUSION

Designing and extracting optimal passenger flows in a transportation network is crucial for reducing traffic congestion and environmental costs. Methods based on shortest-path optimization are optimal in terms of reducing the average shortest path length to reach destination, but they may fail in terms of other relevant transportation metrics. In addition, passengers do not always follow the shortest route [12], hence the need for alternative approaches to extract path trajectories and investigate their properties in multilayer networks. We present two models based on optimal transport theory that can flexibly tune the amount of traffic routed in the different layers to encourage usage of rail networks while reducing traffic on the road one. As a result, optimal trajectories extracted with these methods significantly decrease the amount of

carbon emissions compared to shortest-path minimization, while also being more robust to traffic congestions. In particular, we found that MultiOTsp, by interpolating between optimal transport and shortest-path minimization, can achieve the lowest amount of carbon emissions under the hypothesis of smooth flow of passengers in a network. Instead, MultiOT, based purely on optimal transport, distributes paths more homogeneously, thus being potentially more robust against increased carbon emissions when accounting for passengers' flow slowing down along traffic bottlenecks. This can be tested quantitatively in real scenarios by having access to empirical data of different velocities during traffic congestion, along with detailed velocity limits imposed by regulations in different parts of the network. One could potentially compare the theoretical results with the empirical ones observed from real data as in [34].

In general, we show that models based on optimal transport can be used to design optimal routes for passengers in a multilayer network and investigate scenarios beyond those obtained by using standard shortest-path algorithms. In this work, we assumed fixed origin-destination pairs, but one can further generalize this analysis by considering dynamical traffic demands that change in time. This would require to suitably adapt the models studied in this work to account for this, for instance borrowing ideas from [35–39]. Similarly, we did not explore here the possibility of traffic diversions due to road blockages or changing conditions in the network structure [40–43]. Studying the robustness of the methods investigated in this work to these scenarios would be an interesting subject for future work. Finally, it would be interesting to investigate more complex scenarios with more than two layers, possibly on a larger scale than that of a unique urban scenario. To facilitate future analysis, we provide an open source implementation of our code at [25].

Acknowledgments

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Appendix A: Additional results varying p

We set $p = \{0.2, 0.5, 0.8\}$ to capture different traffic demand scenarios, where $p = 0.2$ and $p = 0.8$ correspond to having the majority and minority of the passengers with a monocentric destination. We show in Fig. 10 the performance of the algorithms in terms of the same metrics investigated in the main manuscript. All displayed results have the same settings described in Sec. III.

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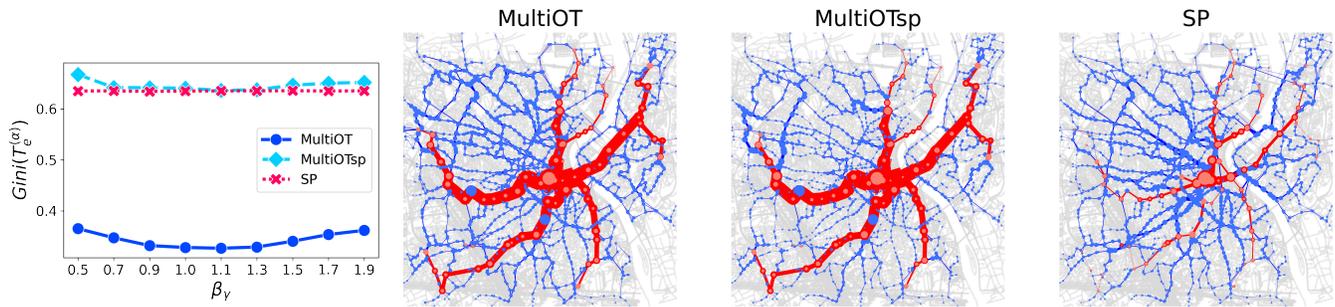


FIG. 9. Traffic distribution on the Bordeaux network. We set $p = 0.2$ and $\beta_\alpha = 0.5$. Left) Gini coefficient calculated using the traffic on the road network. Error bar (standard deviation) are smaller than marker size. Center-Right) Traffic distribution for each of the algorithms with $\beta_\gamma = 1.9$. Red and blue edges denote tram and road layers, respectively. Node and edge sizes are proportional to the amount of passengers traveling through them. The results are averaged over 100 samples of origin-destination pairs.

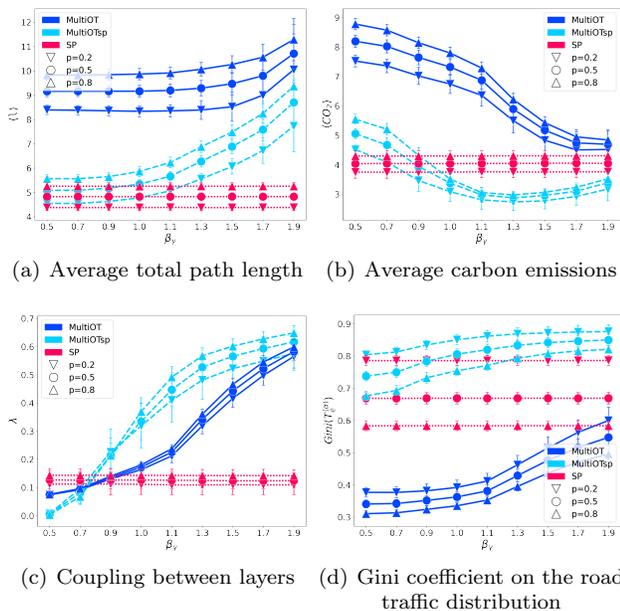


FIG. 10. Additional results on synthetic data for varying p . The results are averages and standard deviations over 20 different network realizations with 100 independent samples of origin-destination pairs on each network realization. Other parameters used here are $\beta_\alpha = 0.5$, $N_\alpha = 300$, $N_\gamma = 60$.

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